

REQUEST FOR QUALIFICATIONS TO PROVIDE DESIGN-BUILD SERVICES

FOR

HARTNELL COMMUNITY COLLEGE DISTRICT NORTH MONTEREY COUNTY EDUCATION CENTER PROJECT

STATEMENTS OF QUALIFICATIONS TO BE RECEIVED AT THE OFFICE OF:

HARTNELL COMMUNITY COLLEGE DISTRICT
411 CENTRAL AVENUE
SALINAS, CA 93901
Attn: JOSEPH REYES
Room E-107B

NO LATER THAN:

May 27, 2019, 3:00 P.M.

Please call 770-6195 or 770-6104 for any accessibility issues with this document.

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411 Central Avenue Salinas, California 93901

831.755.6700 main 831.755.6751 fax

www.hartnell.edu

REQUEST FOR QUALIFICATIONS TO PROVIDE DESIGN-BUILD SERVICES

RECEIPT OF STATEMENTS OF QUALIFICATIONS:

Sealed statements of qualifications and one electronic copy will be received at the office of the

HARTNELL COMMUNITY COLLEGE DISTRICT
411 CENTRAL AVENUE
SALINAS, CA 93901
ATTN: JOSEPH REYES
Room E-107B

Email: jreyes@hartnell.edu

No later than May 27, 2019 @ 3:00 P.M.

BASIC SCOPE AND NEEDS OF THE PROJECT:

Pursuant to Education Code sections 81700 *et seq.*, the Hartnell Community College District seeks a design-build entity to produce final designs for, and construct, the North Monterey County Education Center Project, at 10241 Tembladera Street (intersection of Merritt St. and Mead St.), Castroville, California 95012 (the "Project"). The Project will be an approximately 13,500 square foot education center comprised of: three (3) classrooms; two (2) laboratories; lab support room; community room; student success center; administrative area; and main lobby, circulation, restrooms and building support areas. The Project will also include related site improvements, including all utilities, parking, hardscape/landscape, outdoor waiting area, and other site elements. Additional details about the Project are set forth in Attachment A, and a Conceptual Site Plan for the Project attached as Exhibit B. As set forth herein, the design-build entity will be responsible for the final design and transmission of their final design to the Division of the State Architect ("DSA"), and for obtaining final DSA approval. No construction work shall be performed until the design-build entity receives written approval of the plans, as to the safety of design and construction, from the DSA.

DESIGN-BUILD ENTITY PREQUALIFICATION AND SHORTLISTING:

From the design-build entities that submit statements of qualifications, the District will determine which entities shall be short-listed for this Project. The District will select up to three (3) entities to be shortlisted for this Project. Only design-build entities that are short-listed pursuant to Education Code section 81703(b) will be issued a Request for Proposals and allowed to submit proposals.

A design-build entity will not be eligible to be prequalified or short-listed if the entity, or any consultant or contractor to the entity, participated in the preparation of this Request for Qualifications or the Request for Bids or Proposals (including the District's requirements for the design [the "Criteria Documents"]) for the Project.

Any statement of qualifications submitted for this Project must use the template attached to this Request for Qualifications as Exhibit C, and must be certified under penalty of perjury by the design-build entity and its general partners or joint venture members.

The following non-price-related factors will be considered by the District when evaluating qualifications: Technical design and construction expertise, acceptability of safety record, possession of valid design professional and contractor licenses (including a Class "B" California contractor license), design and construction experience, financial strength, and insurance and bonding capacity.

STIPEND FOR PREPARATION OF PROPOSAL:

The District will select up to three (3) entities to be shortlisted for this Project and will select the entity to which the contract will be awarded on the basis of the best value to the District. The two (2) shortlisted entities who submit a proposal and are not awarded a contract for the Project will receive a reimbursement from the District in the amount of twenty thousand dollars (\$20,000.00) for the costs incurred in preparation of a proposal. The entity deemed to be the best value to the District and awarded a contract for the Project will **not** receive a reimbursement. If the District, in its sole discretion, determines that, a shortlisted entity fails to use good faith or best efforts to submit a competitive proposal, the District reserves the right to refuse to grant any or all of the amount of the reimbursement to the entity.

EXPECTED COST RANGE OF THE PROJECT:

The District expects a price in the range of \$6.3 million to \$6.9 million for this Project.

PROJECT ADMINISTRATION:

All questions relative to this Project shall be directed to the following District representatives. No telephone calls will be accepted; submit letter or email questions only:

Hartnell Community College District 411 Central Avenue Salinas, CA 93901 Room E-107B

Attn: Joseph Reyes, Executive Director Facilities, Planning & Construction

Management

Email: jreyes@hartnell.edu

EVALUATION OF PROPOSALS, AND SELECTION OF THE DESIGN-BUILD ENTITY:

Best value will be the methodology used to evaluate the proposals and select the design-build entity that will receive award of the contract. The procedures for the evaluation of the proposals for best value may include requests for additional information or revisions to proposals, discussions, interviews, and negotiations. More detail about the best value process will be included in the Request for Proposals.

The schedule for selection of the design-build entity will be as follows:

Submission of statements of qualifications	May 27, 2019
District gives notice of its decision regarding shortlisted contractors	June 7, 2019
District issues Request for Proposals	June 14, 2019
Last day for design-build entities to submit questions	June 28, 2019
Last day for District to respond to questions	July 8, 2019
Proposals due	July 12, 2019
Interviews by District Committee	July 16, 2019
District Board awards the contract	August 6, 2019

The District reserves the right to amend this schedule for any reason.

DATED: May 6, 2019

HARTNELL COMMUNITY COLLEGE DISTRICT

Joseph Reyes

Executive Director Facilities Planning &

Construction Management

PROJECT DESCRIPTION - EXHIBIT A

Room Type	n Description	TOP Code	Department	No Rooms	Sq. Ft. Per Room	Capacity/ People	Required SF	Total ASF (JCAF 31)	Comments
110	Classroom							3,600	
110	Classroom #1	99	General Assignment Classroom	1	1,200	45	1,200		
110	Classroom #2	99	General Assignment Classroom	1	1,200	45	1,200		
110	Classroom #3	99	Computer Classroom / Lab	1	1,200	45	1,200		
210	Class Lab							2,800	
210	Dry Lab	1901	Physical Sciences, General	1	1,400	30	1,400		
210	Wet Lab	0410	Anatomy / Physiology Lab	1	1,400	30	1,400		
215	Class Lab Service							600	
210	Lab Storage	1901	Lab Storage / Support	1	600	1	600		
310	Office	99						750	
310	Counselor Office #1	99	Counselor	1	140	1	140		
310	Administrator Office	99	Administrator	1	140	1	140		
310	Faculty Office #1	99	Faculty	1	100	1	100		
310	Faculty Office #2	99	Faculty	1	100	1	100		
310	Financial Aid	99	Open Area	1	150	2	150		
310	Reception	99	Open Area	1	120	2	120		
315	Office Service							100	
310	File Storage	99	File Storage / Work Room	1	100	0	100		
410	Read/Study Room							2,200	
410	Community Room	6110	Community Room	1	1,200	60	1,200		
410	Student Engagement	6110	Learning Resource / Study	1	1,000	40	1,000		
Gross	Area							3,170	
	Circulation			1	2,000	0	2,000		
	Vending			1	100	0	100		
	Toilets			1	700	0	700		
	Data			1	120	0	120		
	Electrical			1	120	0	120		
	Mechanical			1	80	0	80		
	Janitor			1	50	0	50		
Total /	Area:							13,220	

CONCEPTUAL SITE PLAN - EXHIBIT B



EXHIBIT C

STATEMENT OF QUALIFICATIONS TEMPLATE ON FOLLOWING PAGES

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EXHIBIT C - STATEMENT OF QUALIFICATIONS

Important Instructions:

"You" or "your" refers to the proposing design-build entity.

For *every* question below, if you are a partnership or joint venture, you must provide *separate answers* for each of your partners or members. If a partner in a partnership or member of a joint venture believes that a question does not apply to it (e.g., a question about past liability for liquidated damages to an architect), the partner or member may explain why he/she believes that the question is not applicable to it. Questions of applicability will be determined by the District in its sole discretion.

A.	General Information						
1.	Your name as it appears of	appears on license:					
CIRC	LE ONE: Corporation	Partnership	Sole Proprietorship	Joint Venture			
Conta	act Person:						
Street	t Address (P.O. Box is not a	acceptable):					
Telep	whone: ()	Fax	:				
E-ma	il address:		_				
2.	List all of your shareholde Qualifications who will pe			ne of this Statement of			
	Name of shareholder, partne	er, member	Name of shareholde	er, partner, member			

3. Attach to this Statement of Qualifications a copy of the organizational documents or agreement committing to form your design-build entity.

В.	History and Owne	ership of Firm					
1.		ave you been in busines number? yea	ss in California under yours.	present business			
2.	Has there been any	change in your owners	hip at any time during the	last three years?			
	_		publicly traded is not re blicly traded corporation	-			
	☐ Yes ☐	No					
	If yes, please provi	de details on a separate	signed page.				
3.	Are you a subsidia	ry, parent, holding com	pany or affiliate of anothe	r firm?			
	NOTE: Include information about other firms if one firm owns 50 percent or more of another.						
	☐ Yes ☐	☐ Yes ☐ No					
	If yes, please provide details on a separate signed page.						
4.	Please provide the i	nformation appropriate	to your form of entity.				
	a. <u>For Firms T</u>	That Are Corporations					
Date	incorporated	<u></u>					
Unde	r the laws of what sta	ite					
corpo		e president, secretary, t	reasurer), or (b) the owner				
	Name	Position	Years with Company	% Ownership			
-							

Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

b. <u>For Firms</u>	That Are Partners	ships	
Date of formation:			
Under the laws of what st	ate:		
Provide all the following	information for ea	ach partner who owns	10 percent or more of the firm.
Name	Position	Years with Compa	ny % Ownership
partner, limited partner or NOTE: For this question	officer) at any tinn, "owner" and "	me during the last five 'partner' refer to ow	sociated with (as owner, general years. nership of ten per cent or e business is a corporation.
Person's Name	Cons	truction Company	Dates of Person's Participation with Company
c. For Firms Date of commencement of Social security number of			

Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person's Name	Construct	tion Company	Dates of Person's Participation with Company	
d. <u>For Firms That As</u>	re a Joint Vent	<u>ure</u>		
Date of commencement of joint v	venture:		_	
Provide all of the following infor	mation for <u>eac</u>	ch firm that is a m	nember of the joint venture:	
Name of fir	m	% Ownership	o of Joint Venture	
On a separate sheet, provide all of for each corporation, partnership 5. State your gross revenues	or sole-propri	information requi	member of the joint venture.	
Current year:				
Previous year:				
Year prior to previous	s year:			
6. State the number of proje	cts you have c	ompleted in each	of the last three fiscal years:	
Current year: Previous year:				

Year prior to previous year:

7.	Have you changed names or licens	se numbers in the past five years?		
	Yes No			
	If yes, explain on a separate signed	d page, including the reason for the change.		
C.	Bonds and Insurance			
1.		that will provide all bonds, including payment and Project:		
	Name of surety agent, address and	telephone number		
		t from an admitted surety insurer (approved by the e and authorized to issue bonds in the State of ent bonding capacity.		
2.	List all other sureties (name and full address) that have written bonds for you during the last five years, including the dates during which each wrote the bonds:			
	<u>NAME</u>	FULL ADDRESS		
3.	omissions, and builder's risk), list	vided for the Project (including CGL, auto, errors and the agent (including company name, address, telephone, impany that will provide the required insurance on this		
AGE	NT			
COM	IPANY NAME			
ADD	PRESS:			
РНО	NE :()	FAX :()		
INSU	JRANCE COMPANY:			

D. <u>Financial Information</u>

1.

been prepared by a certified public accountant within twelve (12) months of submission of this statement of qualifications. Also, please provide the most current financial statement for you, which must have been prepared within three (3) months of submission of this statement of qualifications. These statements must ensure that you have the capacity to complete the Project. 2. Name of accounting firm and primary contact: Address: How many years has this accounting firm prepared financial statements for you? 3. Banking information: Name of Bank: Account Manager: ____ Telephone: Account No.:____ Line of Credit: Amount in Use: How Secured: Expiration Date:

Attach the most current audited year-end financial statement for you, which must have

(Attach extra sheets for additional banks/accounts)

E. <u>Licenses</u>

1. List all California design professional and contractor licenses held by you, including license numbers, classifications, and expiration dates. If the license is held in the name of a corporation, partnership, or joint venture, also list the names of the qualifying individual(s) listed who meet(s) the experience and examination requirements for each license.

License Type	License Number	Classification	Expiration	Qualifying Individual(s)

2. List all California design professional and contractor licenses held by individuals or entities that you will be hiring as sub consultants or subcontractors for the Project, including license numbers, classifications, and expiration dates. If the license is held in the name of a corporation or partnership, also list the names of the qualifying individual(s) listed who meet(s) the experience and examination requirements for each license.

<u>Consultant</u>	<u>License #</u>	Exp. Date

3.	Will you have every design professional, contractor, and license necessary to legally perform its duties and obligations for the Project, including design and construction?
	☐ Yes ☐ No
	If "no," explain here or on a separate page.
4.	Did you participate, or will you be retaining a consultant or contractor that participated, in the preparation of the Request for Qualifications or the Request for Bids or Proposals (including the criteria documents) for the Project?
	☐ Yes ☐ No
	NOTE: If the answer is "yes," then you will not be prequalified or short-listed for the Project.
F.	Recent Projects Completed
public educat all pro metho	provide the information requested below about all of your current public works projects, works projects completed in the last two years, and DSA-approved California public ion projects (either K-12 or community college) completed in the last three years. Include bjects, whether using design-build, design-bid-build, lease-leaseback, or other delivery ds, and whether providing design or construction services. Names and references must be t and verifiable.
Use se	parate sheets of paper that contain all of the following information for each public works t:
Projec	t Name:
Locati	on:
Owner	::
Owner	Contact (name and current phone number):
Archit	ect Engineering Firm:
Lead A	Architect or Engineer:
Archit	ect or Engineer Contact (name and current phone number):
Contra	actor:
Contra	actor Contact (name and current phone number):
Constr	ruction Manager (name and current phone number):

Inspe	ector of	Record (name and current phone number):				
Desc	ription	of Project, Scope of Work Performed				
Tota	l Value	of Construction (including change orders):				
Date	Constr	uction Commenced				
Orig	inal Coı	ntractual Completion Deadline:				
Adju	sted Co	impletion Deadline Based on Time Extensions Granted by Owner:				
Actu	al Date	of Completion:				
Arch	itect or	Engineer:				
Gene	eral Con	stractor's Project Manager (lead contact in office):				
Gene	eral Con	tractor's Superintendent (lead contact on project site):				
G.	App	renticeship Program Information				
1.	(appi	Provide the name, address and telephone number of the apprenticeship program sponsor(s) (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for the Project.				
2.	If yo	u operate your own State-approved apprenticeship program:				
	(a)	Identify the craft or crafts in which you provided apprenticeship training in the past year.				
	(b)	State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).				
	(c)	State the number of individuals who were employed by you as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by you.				

H.	Design Qualifications	
1.	Do you possess a valid and current California architectural or engineering license for the Project?	
	☐ Yes ☐ No	
	State the license number(s):	
2.	For what design specialties will you be	hiring sub consultants?
3.	Identify and describe all projects in the last 5 years that you have designed, which are similar to the Project (other than those listed in response to Section F, above).	
4.	Identify the proposed key personnel that will perform the design services necessary for the Project.	
NA	ME	TITLE/POSITION
5.	For each person identified in response to the previous question, list evidence that he/she (a) has completed, or has demonstrated the experience, competency, capability, and capacity to complete, projects of similar size, scope, and complexity as the Project; and (b) has sufficient experience and training to competently manage and complete the construction of the Project.	
6.	Has your license, or any credential or registration, ever been revoked or suspended at an time in the last five years, even if later reinstated retroactively?	
	☐ Yes ☐ No	

I.	Construction Qualifications			
1.	Do you possess a valid and current Class California contractor's license, which is required for the Project?			
	☐ Yes ☐ No			
	If yes, identify the holder of the license ar	nd the license number.		
2.	For what construction specialties will you be hiring subcontractors?			
3.	Identify and describe all projects in the last 5 years that you have constructed that are similar to the Project (other than those listed in response to Section F, above).			
4. Identify the proposed key personnel that will perform the construction services ne for the Project.		will perform the construction services necessary		
NAM	IE of KEY Personnel	TITLE/POSITION		
5.	For each person identified in response to the previous question, list evidence that he/she (a) has completed, or has demonstrated the experience, competency, capability, and capacity to complete, projects of similar size, scope, and complexity as the Project; and (b) has sufficient experience and training to competently manage and complete the construction of the Project.			
6.	Do you have a liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$5,000,000 aggregate?			
	☐ Yes ☐ No			
7.	Do you have current workers' compensation insurance policy as required by the Labor Code or are you legally self-insured pursuant to Labor Code section 3700 <u>et seq.</u> ?			
	☐ Yes ☐ No			
	Contractor is exempt from this require	ement, because it has no employees.		

8.	Have you <u>completed</u> at least two California public education (either K-12 or community college) construction projects, subject to DSA approval?		
	☐ Yes	□ No	
9.	submit a bid	• •	with the Department of Industrial Relations and qualified to to otherwise perform work on a public project pursuant to Code?
	☐ Yes	□ No	Provide PWC#
10.	Has your contractor's license, or any credential or registration, ever been revoked or suspended at any time in the last five years, even if later reinstated retroactively?		
	☐ Yes	□ No	
11.	At the time of submitting this form, are you ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?		
	☐ Yes	☐ No	
	•		ad ending dates of your ineligibility to bid on or be awarded a rform as a subcontractor on a public works contract:
12.	Are you curre	ently the debtor	in a bankruptcy or receivership case?
	• •	attach a copy on the petition w	of the bankruptcy petition, showing the case number, and the as filed.
13.	•	-	a contract on your behalf, or paid for completion of a contract rminated you within the last five (5) years?
	☐ Yes	□ No	
14.	in a civil suit	, or found guilty	oners, officers, partners, or members, ever been found liable y in a criminal action, for making any false claim or material blic agency or entity?
	☐ Yes	□ No	
	•	-	signed page, including identifying who was involved, the he date of the investigation and the grounds for the finding.

15.	5. Have you or any of your owners, officers, partners, or members ever been convicte crime involving any federal, state, or local law related to design or construction?		
	☐ Yes ☐ No		
16.	Have you or any of your owners, officers, partners, or members ever been convicted of a federal or state crime of fraud, theft, or any act of dishonesty?		
	☐ Yes ☐ No		
	If yes, identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.		
J.	Financial History & Licensing		
1.	Were you in bankruptcy or receivership any time during the last five years?		
	☐ Yes ☐ No		
	If yes, please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.		
2.	Has any license held by you, or held by its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO), been suspended within the last five years?		
	☐ Yes ☐ No		
K.	<u>Disputes</u>		
1.	At any time in the last five years, have liquidated damages been assessed or levied against you under a construction contract with either a public or a private owner?		
	☐ Yes ☐ No		
	If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed or levied by the owner, amount of liquidated damages paid or credited by you to the owner, and all other information necessary to fully explain the assessment or levy of liquidated damages.		

2. In the last five years have you, or any firm with which any of your company officers, partners, or members was associated, been debarred, disqualified, to otherwise prevented from bidding on, or completing, any government agenc works project for any reason?		
	NOTE: "Associated" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 4 on Part I of this form.	
	☐ Yes ☐ No	
	If yes, explain on a separate signed page. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.	
3.	In the last five years, have you been denied an award of a public works contract based on a finding by a public agency that you were not a responsible bidder?	
	☐ Yes ☐ No	
	If yes, explain on a separate page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.	
project anothe throug	E: The following two questions refer only to disputes between you and the owner of a ct. You need not include information about disputes between you and a supplier, er contractor, or subcontractor. You need not include information about "pass-gh" disputes in which the actual dispute is between a sub-contractor and a project c. In addition, you may omit reference to all disputes about amounts of less than 90.	
4.	In the past five years, has any claim by a project owner (including a complaint) against you concerning your design or construction work on a project been filed in court or been the subject of arbitration?	
	☐ Yes ☐ No	
	If yes, on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).	

5.	the past five years, have you filed any claim (including a complaint) in court or bitration against a project owner concerning work on a project or payment for a ontract?	
	☐ Yes ☐ No	
	If yes, on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).	
6.	Have you had a contract for a public work of improvement in the last five years that was terminated for cause by a public entity, or terminated in whole or in part with or without your consent? Note: You need not answer "yes" if the public entity terminated the contract for convenience.	
	☐ Yes ☐ No	
	If the answer is "Yes," for each such contract attach a separate sheet identifying the owner your bonding company, and the original contract value, the value of the work terminated and a brief explanation of the circumstances leading to the termination.	
7.	At any time during the past five years, has any surety company made any payments on your behalf to satisfy any claims made against a performance or payment bond issued on your behalf, in connection with a construction project, either public or private?	
	☐ Yes ☐ No	
	If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.	
8.	In the last three years have you held a public works contract on which more than three (3) stop payment notices were served against your firm?	
	☐ Yes ☐ No	
	If "yes," explain on a separate signed page.	

9.	In the last 3 years have you had any Stop Payment Notice result in a claim against your Payment Bond?
	☐ Yes ☐ No
	If "yes," explain on a separate signed page.
L.	Insurance and Bonding
1.	In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for you?
	☐ Yes ☐ No
	If yes, how many instances?
2.	If you were required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which you worked at any time during the last five years, state the percentage that you were required to pay, identify the project, identify the owner, and identify the dates of the project. You may provide an explanation for a percentage rate higher than one percent, if you wish to do so.
	%
3.	During the last five years, have you ever been denied bond coverage by a surety company, or has there ever been a period of time when you had no surety bond in place during a public construction project when one was required?
	☐ Yes ☐ No
	If yes, provide details on a separate signed sheet indicating the date when you were denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.
М.	Compliance with Law, Worker's Compensation, and Safety Record
1.	Has CAL OSHA cited and assessed penalties against you for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?
	Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.
	☐ Yes ☐ No
	If yes, attach a senarate signed page describing each citation

2.	Has the federal Occupational Safety and Health Administration cited and assessed penalties against you in the past five years?
	Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.
	☐ Yes ☐ No
	If yes, attach a separate signed page describing each citation.
3.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either you or the owner of a project on which you were the contractor, in the past five years?
	NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.
	☐ Yes ☐ No
	If yes, attach a separate signed page describing each citation.
4.	How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?
	Describe your worker safety program:
5.	List your Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:
	NOTE: An Experience Modification Rate is issued to you annually by your workers' compensation insurance carrier.
	Current year:
	Previous year:
	Year prior to previous year:
	If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation.

6.	Within the last five years, has there ever been a period when you had employees but were without workers' compensation insurance or state-approved self-insurance?
	☐ Yes ☐ No
7.	State your average total recordable injury or illness rate and average lost work rate for the most recent three-year period:
N.	Prevailing Wage and Apprenticeship Compliance Record
1.	Has there been any occasion during the last five years on which you were required to pay either back wages or penalties for your failure to comply with the state's prevailing wage laws?
	☐ Yes ☐ No
	NOTE: This question refers only to your own violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.
	If yes, attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.
2.	During the last five years, has there been any occasion on which you have been penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?
	☐ Yes ☐ No
	If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.
3.	Other than as already described in response to any question above, have any other labor or environmental charges or penalties been assessed or levied against, or paid by, you or the owner of a project on which you were the contractor in the past five years?
	☐ Yes ☐ No

4.	At any time during the last five years, have you been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?		
	☐ Yes ☐ No		
	If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).		
	If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, and the public agency for which it was constructed.		

CERTIFICATION

Statements of Qualifications submitted by a corporation must be signed by (1) the chairperson of the board, president or any vice president, and then (2) the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name and title of each person signing and the legal name of the corporation (including the state of incorporation) shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished.

Statements of Qualifications submitted by a partnership must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters. The name and title of the person signing and the name of the partnership shall also be typed or printed below the signature.

Statements of Qualifications submitted by a joint venture must furnish the full name of all members of the joint venture and must be signed by a representative of each member who has authority to bind the member in such matters. The name and title of the person signing and the name of the member shall also be typed or printed below the signature.

Each person signing below makes the following representations under penalty of perjury:

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true of his or her own personal knowledge. This information is provided for the purpose of qualifying to submit a bid or proposal for the Project, and any individual, company or other agency named herein is hereby authorized to supply the District with any information necessary to verify the prospective bidder's or proposer's statements. By signing below, the submitter and the design-build entity hereby, grant permission to the District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement, which is proven false, shall be grounds for immediate disqualification from proposing on the Project. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, the undersigned, certify and declare that I have read all the foregoing answers and information in this Statements of Qualifications and know their contents. The matters stated in the Statements of Qualifications are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters, I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Signature	Signature	
Name	Name	
Title	Title	
Entity Name	Entity Name	
Date	Date	
Signature	Signature	
Name	Name	
Title	Title	
Entity Name	Entity Name	
Date	Date	

(Add additional signature pages as necessary to comply with the directions above.)

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